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GP1645

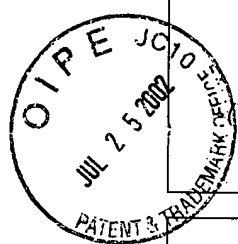
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# TRANSMITTAL FORM

*To be used for all correspondence after initial filing*

Application Number	10/017,754
Filing Date	October 29, 2001
First Named Inventor	Robert A. Henderson
Group Art Unit	1645
Examiner Name	Not Assigned
Attorney Docket No.	210121.478C18

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References (7) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Copy of Invitation to Pay</u> <u>Additional Fees for</u> <u>PCT/US01/22058</u>
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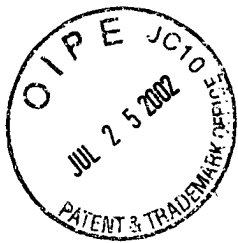
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	William T. Christiansen, Ph.D. 44,614	 <b>00500</b> PATENT TRADEMARK OFFICE
Signature		
Date	July 19, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. Henderson et al.  
Application No. : 10/017,754  
Filed : October 29, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER  
Art Unit : 1645  
Docket No. : 210121.478C18

Commissioner for Patents and Trademarks  
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in an Invitation to Pay Additional Search Fees for corresponding foreign application PCT/US01/22058, mailed June 12, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC



William T. Christiansen, Ph.D.  
Registration No. 44,614

WTC:CDL:ljt

Enclosures:

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Form PTO-1449  
Form PTB/SB/21  
Copies of Cited References (7)  
Copy of Invitation to Pay Additional Fees for PCT/US01/22058

701 Fifth Avenue, Suite 6300  
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Fax: (206) 682-6031

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.478C18APPLICATION NO.  
10/017,754**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE  
October 29GROUP ART UNIT  
1645**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AE	WO 00/55375	09/21/00	WIPO		
	AF	WO 99/38973	08/05/99	WIPO		
	AG					
	AH					
	AI					
	AJ					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AK	EMBL Accession Number AA488696, July 1, 1997.
	AL	EMBL Accession Number AW950090, June 7, 2000.
	AM	EMBL Accession Number T63732.1, March 5, 1995.
	AN	Hillier et al., "Generation and Analysis of 280,000 Human Expressed Sequence Tags," <i>Genome Research</i> 6:807-828, 1996.
	AO	Zangemeister-Wittke and Stahel, "Novel approaches to the treatment of small-cell lung cancer," <i>CMLS, Cell. Mol. Life Sci.</i> 55(12):1585-1598, September 1999.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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